

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

Docket No.  
06-1663

Application No.

Filing Date

Patent No.

Issue Date

Applicant/ **Dmitry Dmitrievich GENKIN et al.**  
Patentee:

Invention: **Method for Treating Diseases Associated with Changes in Qualitative and/Quantitative  
Composition of Blood Extracellular DNA**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☒ the specification to be filed herewith.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern or organization exists.  
☐ Each such person, concern or organization is listed below.

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Dmitry Dmitrievich GENKIN

SIGNATURE OF INVENTOR SEE ATTACHED

DATE:

NAME OF INVENTOR Viktor Veniaminovich TETS

SIGNATURE OF INVENTOR SEE ATTACHED

DATE:

NAME OF INVENTOR Georgy Victorovich TETS

SIGNATURE OF INVENTOR SEE ATTACHED

DATE:

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE:

NAME OF INVENTOR

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DATE:

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NAME OF INVENTOR Dmitry Dmitriyevich GERSHEN

SIGNATURE OF INVENTOR

DATE:

01. 11. 2006

NAME OF INVENTOR Viktor Veniaminovich TETS

SIGNATURE OF INVENTOR

DATE

01.11.2005

NAME OF INVENTOR Georgy Viktorovich TETS

SIGNATURE OF INVENTOR

DATE \_\_\_\_\_

01. 11. 2006

NAME OF INVENTOR:

SIGNATURE OF INVENTOR

DATE

NAME OF INVENTOR:

SIGNATURE OF INVENTOR

DATE

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE \_\_\_\_\_

NAML CI INVENTOR

SIGNATURE OF INVESTIGATOR

DATE \_\_\_\_\_

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE \_\_\_\_\_

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE \_\_\_\_\_

NAME OF INVENTOR

SIGNATURE OF INVENTOR

DATE \_\_\_\_\_

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**DECLARATION — Utility or Design Patent Application**Direct all  
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OR

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Patent, Copyright &amp; Trademark Law Group, LLC

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137 South Main Street, Ste. 202

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ZIP

44308

Country

US

Telephone

330-253-5678

Email

john@pctlawgroup.com

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

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**NAME OF SOLE OR FIRST INVENTOR:**

A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Dmitry Dmitrievich

Family Name or Surname

GENKIN

Inventor's Signature

SEE ATTACHED

Date

Residence: City

Saint-Petersburg

State

Konstantinovsky pr.

Country

RU

Citizenship

RU

Mailing Address

d.26, kv. 1

City

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State

Konjstantinovsky pr.

Zip

197110

Country

RU



Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

**DECLARATION — Utility or Design Patent Application**

Direct all correspondence to:	<input checked="" type="checkbox"/> The address associated with Customer Number:	<b>33055</b>	OR	<input checked="" type="checkbox"/> Correspondence address below
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Name  
**Patent, Copyright & Trademark Law Group, LLC**

Address  
**137 South Main Street., Ste. 202**

City <b>Akron</b>	State <b>OH</b>	ZIP <b>44308</b>
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Country <b>US</b>	Telephone <b>330-253-5678</b>	Email <b>Johng@pctlawgroup.com</b>
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>NAME OF SOLE OR FIRST INVENTOR:</b>	<input type="checkbox"/> A petition has been filed for this unsigned inventor
Given Name (first and middle (if any)) <b>Dmitry Dmitrievich</b>	Family Name or Surname <b>GENKIN</b>

Inventor's Signature 	Date <b>01.11.2006</b>
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Residence: City <b>Saint-Petersburg</b>	State <b>Saint-Petersburg</b>	Country <b>RU</b>	Citizenship <b>RU</b>
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Mailing Address  
**Konstantinovskiy pr., d.26, kv.1**

City <b>Saint-Petersburg</b>	State <b>Saint-Petersburg</b>	Zip <b>197110</b>	Country <b>RU</b>
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☒ Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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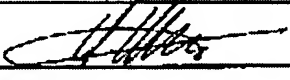
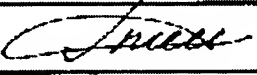
**DECLARATION****ADDITIONAL INVENTOR(S)**  
Supplemental SheetPage 2 of 2

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Viktor Veniaminovich		Tets	
Inventor's Signature <b>SEE ATTACHED</b>			Date
Saint-Petersburg Residence: City	ul. Lensoveta State	RU Country	RU Citizenship
d. 13, kv. 41			
Mailing Address			
Saint-Petersburg City	ul. Lensoveta State	19066 Zip	RU Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Georgy Viktorovich		Tets	
Inventor's Signature <b>SEE ATTACHED</b>			Date
Saint-Petersburg Residence: City	ul. Pushkinskaya State	RU Country	RU Citizenship
d.13, kv.41			
Mailing Address			
Saint-Petersburg City	ul. Pushkinskaya State	191025 Zip	RU Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**DECLARATION****ADDITIONAL INVENTOR(S)**  
Supplemental SheetPage 2 of 2

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Viktor Veniaminovich		TETS	
Inventor's Signature 		Date 01.11.2006	
Saint-Petersburg	Saint-Petersburg	RU	RU
Residence: City	State	Country	Citizenship
ul.Lensoveta, d.27, kv.95			
Mailing Address			
Saint-Petersburg	Saint-Petersburg	196066	RU
City	State	Zip	Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Georgy Viktorovich		TETS	
Inventor's Signature 		Date 01.11.2006	
Saint-Petersburg	Saint-Petersburg	RU	RU
Residence: City	State	Country	Citizenship
ul.Pushkinskaya, d.13, kv.41			
Mailing Address			
Saint-Petersburg	Saint-Petersburg	191025	RU
City	State	Zip	Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**Declaration  
Submitted  
With Initial  
Filing

OR

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)Attorney Docket  
Number

06-1663

First Named Inventor

Dmitry Dmitrievich GENKIN

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

Examiner Name

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method for treating diseases associated with changes of qualitative and/qualitative composition of blood extracellular DNA

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/RU2004/000260	RU	01/20/2005	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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